

Sets

§1 Concepts and definition

1. Definition: A set is an unordered collection of elements.

2. Characteristics: (1) unequivocalness 确定性

(2) unorderedness 无序性

(3) distinctness 互异性

3. Notations:

a belongs to $A \Leftrightarrow a \in A$

a doesn't belong to $A \Leftrightarrow a \notin A$

4. Special sets:

R	Z	N	Q	\emptyset
实数集	整数集	自然数集	有理数集	空集

5. special notations for intervals

- $[a, b] := \{x \in \mathbb{R} \mid a \leq x \leq b\}$
- $(a, b) := \{x \in \mathbb{R} \mid a < x < b\}$
- $[a, b) := \{x \in \mathbb{R} \mid a \leq x < b\}$
- $(a, b] := \{x \in \mathbb{R} \mid a < x \leq b\}$
- $[a, \infty) := \{x \in \mathbb{R} \mid x \geq a\}$
- $(a, \infty) := \{x \in \mathbb{R} \mid x > a\}$
- $(-\infty, b] := \{x \in \mathbb{R} \mid x \leq b\}$
- $(-\infty, b) := \{x \in \mathbb{R} \mid x < b\}$

6. subsets and supersets

set A is a **subset** of set B (or B is a **superset** of A) if every element of A is also in set B

$$A \subseteq B$$

★ \emptyset is a subset of any set

if $A \subseteq B$ and $A \neq B$, then A is a **proper subset** of B

$$A \subset B$$

7. 子集个数

Set A 有 n 个元素 ($|A| = n$)

则 A 有 2^n 个子集, 有 $2^n - 1$ 个真子集, 有 $2^n - 2$ 个非空真子集

8. Power set

The power set of set A is the set of all subsets of A denoted as 2^A or $P(A)$

eg. $A = \{1, 2, 3\}$

$$2^A = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$$

★ $|2^A| = 2^{|A|}$

例题:

1. (Quiz 问题) which is correct? \triangleright

A. $\emptyset \in \{0\}$ B. $\{3\} \in \{1, 3\}$ C. $0 \subseteq \{0, 1\}$ \triangleright D. $\emptyset \subseteq \{1\}$

E. \in 表示元素与集合的关系. \subseteq 表示集合与集合的关系

2. $A = \{x \mid -3 \leq x \leq 5\}$, $B = \{x \mid m-1 \leq x \leq 2m+1\}$, $B \subseteq A$. what is the set of all values for m ?

① if $m-1 \leq 2m+1 \Rightarrow m \geq -2$

then $\begin{cases} m-1 \geq -3 \\ 2m+1 \leq 5 \end{cases} \Rightarrow -2 \leq m \leq 2$



$$\therefore -1 \leq m \leq 2.$$

② if $m-1 > 2m+1 \Rightarrow \underline{m < -2}$

then $B = \emptyset$

$$\therefore \emptyset \subseteq A$$

$$\therefore B \subseteq A$$

★ \emptyset is a subset of any set

In conclusion, $m \in (-\infty, 2]$

3. (练习册原题) Suppose set $A = \{x \in \mathbb{R} \mid ax^2 + 2x + 1 = 0\}$ and there is at most one element in set A , then find the range of a .

① $a = 0$

$$2x + 1 = 0 \Rightarrow x = -\frac{1}{2}.$$

② $a \neq 0$.

$$\Delta = 4 - 4a \leq 0 \Rightarrow a \geq 1.$$

In conclusion,
 $\{a \mid a = 0 \text{ or } a \geq 1\}$

4. (练习册原题) Let set $M = \{x \mid (x-a)(x^2-ax+a-1)=0\}$ The sum of all elements in M is 3. Then find the value of a .

$$\begin{array}{r} x \quad - (a-1) \\ x \quad - 1 \end{array}$$

$$(x-a)(x-a+1)(x-1)=0.$$

$$x_1=a, x_2=a-1, x_3=1$$

① if $a \neq a-1 \neq 1$

$$\text{then } a+a-1+1=3 \Rightarrow a=\frac{3}{2}$$

② if $a=1$,

$$\text{then } a-1=0 \Rightarrow M = \{0, 1\} \cdot \text{sum} = 1 \times$$

③ if $a-1=1$,

$$\text{then } a=2 \Rightarrow M = \{1, 2\}, \text{sum} = 3 \checkmark$$

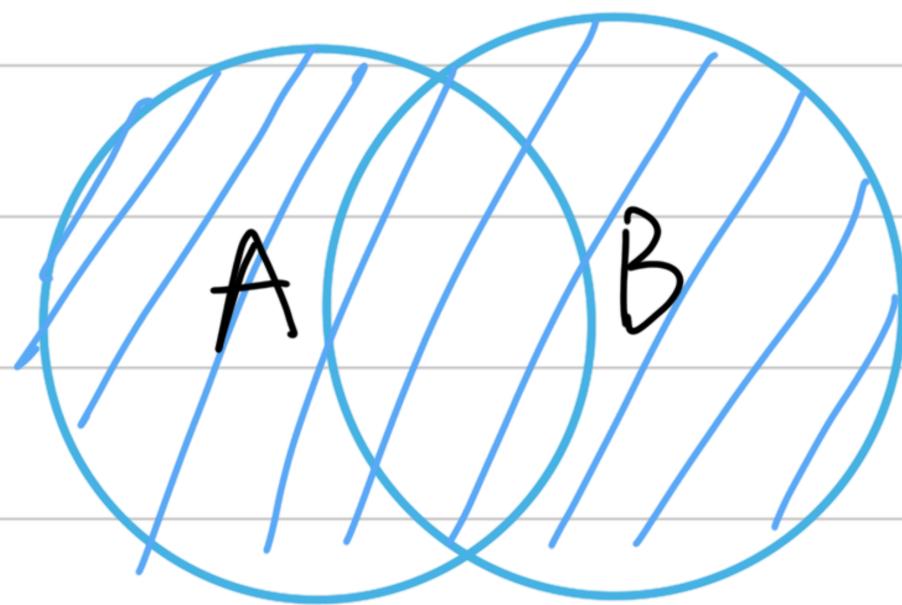
In conclusion, $a = \frac{3}{2}$ or $a = 2$

§2 operations of sets

Union 并集

1. $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$

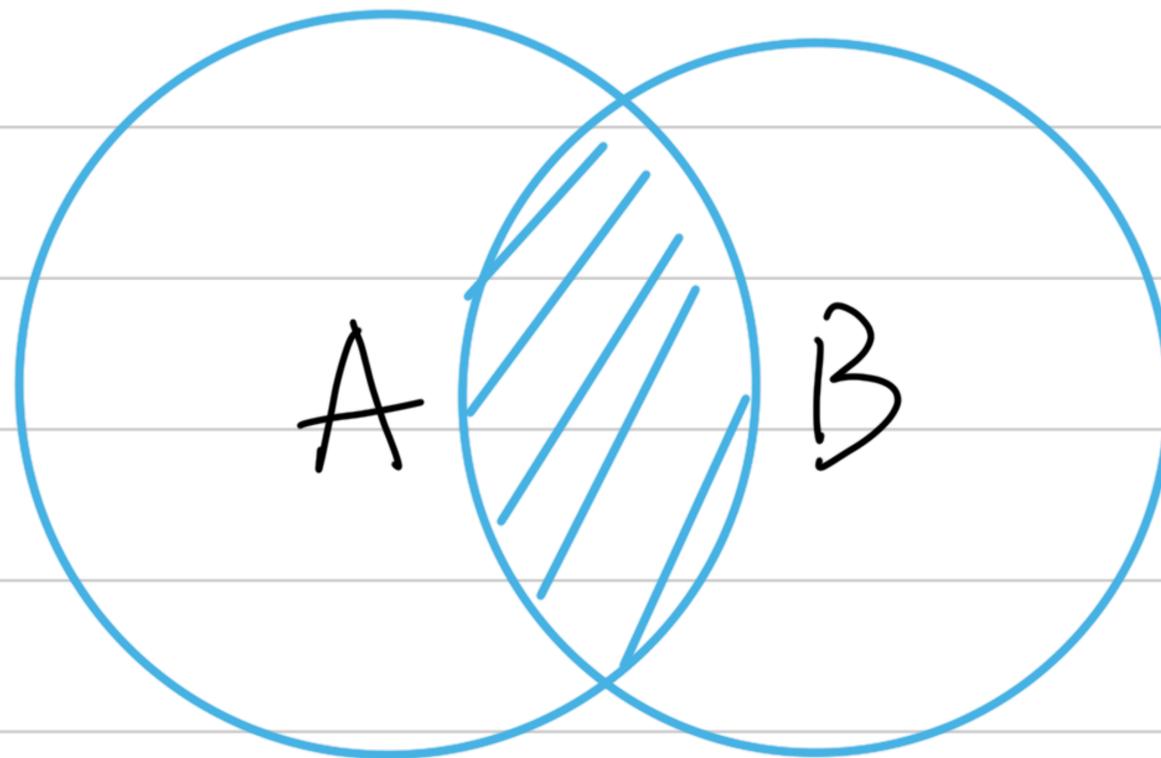
- $A \cup B = B \cup A$
- $A \cup (B \cap C) = (A \cup B) \cap C$
- $A \subseteq (A \cup B)$
- $A \cup A = A$
- $A \cup \emptyset = A$
- $A \subseteq B \Leftrightarrow A \cup B = B$



2. Intersections 交集

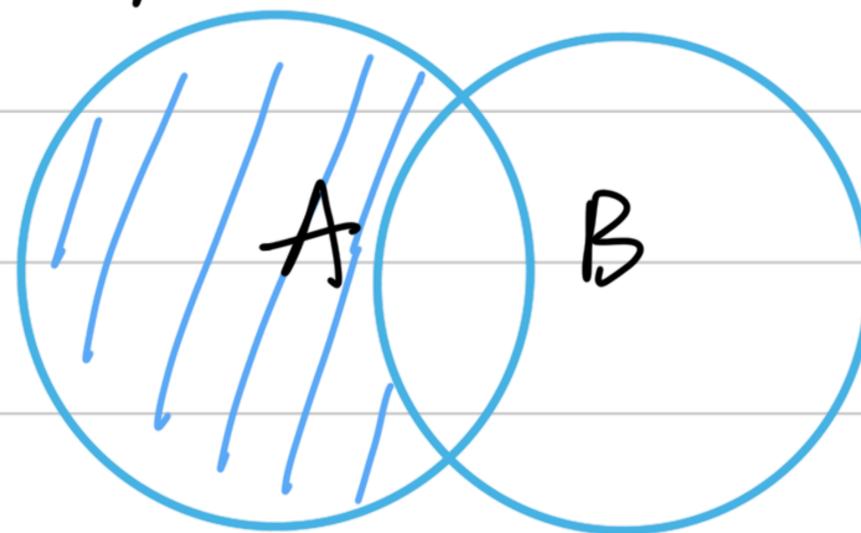
$A \cap B = \{x \mid x \in A \text{ and } x \in B\}$

- $A \cap B = B \cap A$
- $A \cap (B \cap C) = (A \cap B) \cap C$
- $(A \cap B) \subseteq A$
- $A \cap A = A$
- $A \cap \emptyset = \emptyset$
- $A \subseteq B \Leftrightarrow A \cap B = A$



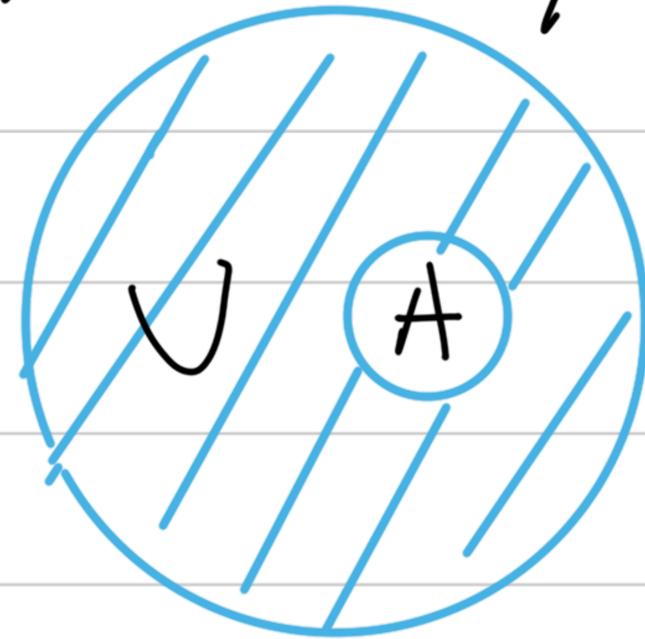
3. Complements 補集

$$A \setminus B \text{ (or } A - B) = \{x \mid x \in A, x \notin B\}$$



$$U \setminus A \text{ (} \bar{A} \text{, or } A^c \text{)} = \{ x \mid x \in U, x \notin A \}$$

Universal set 全集 (a set that contains all the elements involved in the problem)



4. De Morgan's laws

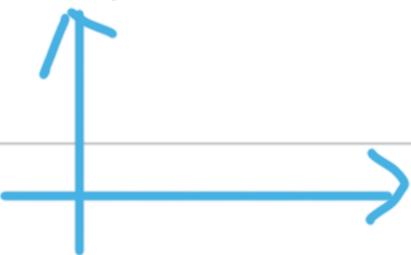
$$(A \cup B)^c = A^c \cap B^c$$

$$(A \cap B)^c = A^c \cup B^c$$

5. Cartesian product $A \times B$

$$A \times B = \{ (x, y) \mid x \in A, y \in B \}$$

$$\mathbb{R}^2 = \mathbb{R} \times \mathbb{R} = \{ (x, y) \mid x \in \mathbb{R}, y \in \mathbb{R} \}$$

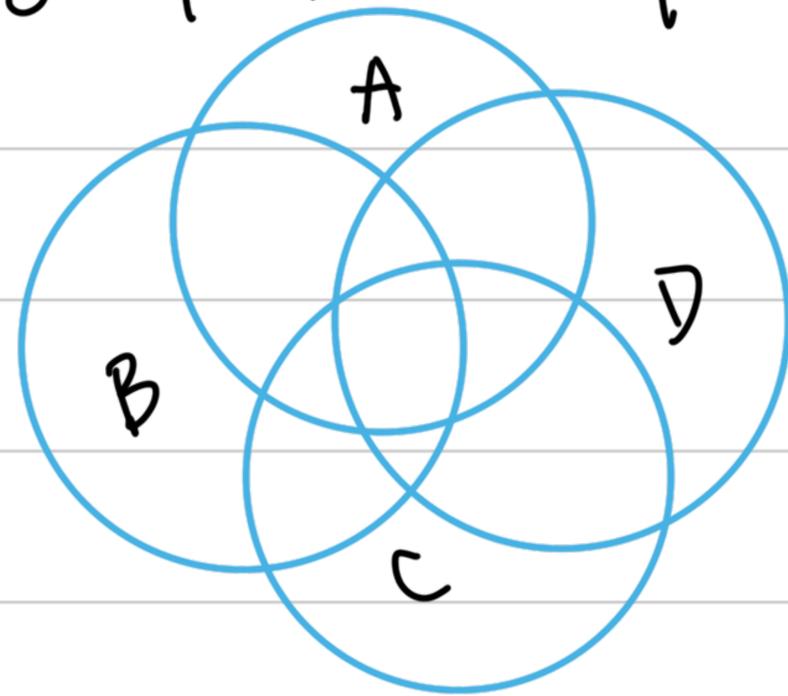
即  2-dimensional plane

6. Principle of inclusion and exclusion

$$|A \cup B| = |A| + |B| - |A \cap B|$$

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |B \cap C| - |A \cap C| + |A \cap B \cap C|$$

$$|A \cup B \cup C \cup D| = |A| + |B| + |C| + |D| - |A \cap B| - |A \cap C| - |A \cap D| - |B \cap C| - |B \cap D| - |C \cap D| + |A \cap B \cap C| + |A \cap B \cap D| + |B \cap C \cap D| - |A \cap B \cap C \cap D|$$



例题: 1. $A = \{-1, 2\}$, $B = \{x \mid ax - 1 = 0\}$, $A \cap B = B$. What is the set of all values for a ?

$$B \subseteq A.$$

① $a \neq 0$.

$$ax - 1 = 0 \Rightarrow x = \frac{1}{a}.$$

$$\frac{1}{a} = -1 \text{ or } \frac{1}{a} = 2$$

$$a = -1 \text{ or } a = \frac{1}{2}$$

② $a = 0$

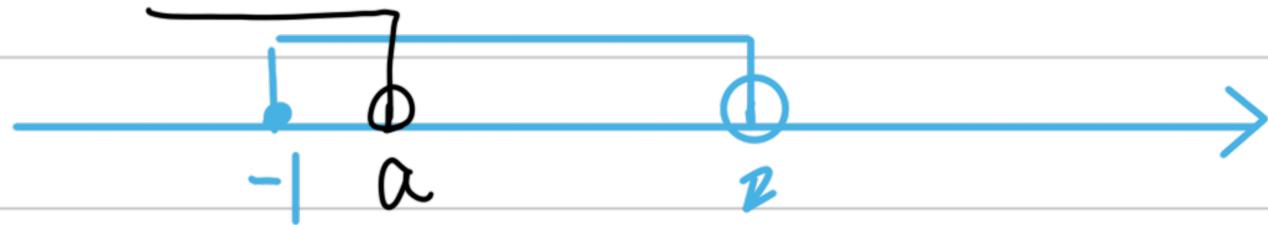
$$-1 = 0 \Rightarrow B = \emptyset$$

$$\because \emptyset \subseteq A$$

$$\therefore B \subseteq A$$

In conclusion, $a \in \{-1, \frac{1}{2}, 0\}$

2. $A = \{x \mid -1 \leq x < 2\}$, $B = \{x \mid x < a\}$, $A \cap B \neq \emptyset$. What is the range of values for a ?



$\therefore \{a \mid a > -1\}$

$a \neq -1$
 when $a = -1$
 $A \cap B = \emptyset$

3. $A = \{x \mid x^2 - 2x - 8 = 0\}$, $B = \{x \mid x^2 + ax + a^2 - 12 = 0\}$, $A \cup B \neq A$. What is the set of all values for a ?

$x^2 - 2x - 8 = 0$
 $x^2 - 4 = 0$

$(x+2)(x-4) = 0$
 $x_1 = -2, x_2 = 4$
 $A = \{-2, 4\}$

let's assume $A \cup B = A$
 then $B \subseteq A$

① $B \neq \emptyset$

if $-2 \in B$, then $4 - 2a + a^2 - 12 = 0$

$$\underline{a_1 = -2, a_2 = 4}$$

$$a = -2, x^2 - 2x - 8 = 0 \quad x_1 = -2, x_2 = 4 \quad B = \{-2, 4\} \subseteq A$$

$$a = 4, x^2 + 4x + 4 = 0 \quad x = -2 \quad B = \{-2\} \subseteq A$$

$$\text{if } 4 \in B, \text{ then } 16 + 4a + a^2 - 12 = 0 \quad \underline{a = -2}$$

Don't forget the empty set!!!

$$\textcircled{2} B = \emptyset$$

$$\Delta = a^2 - 4(a^2 - 12) < 0$$

$$\underline{a > 4 \text{ or } a < -4}$$

$$\therefore a < -4 \text{ or } a > 4 \text{ or } a = -2$$

$$\text{when } A \cup B \neq A, \quad -4 \leq a < -2 \text{ or } -2 < a < 4$$

$$\text{In conclusion, } a \in [-4, -2) \cup (-2, 4)$$



Logic

§1 statements

A statement is a sentence or a mathematical expression that is either true or false.

§2 quantifiers and negations

\forall universal quantifier ("for every")

\exists existential quantifier ("there exists")

The opposite of a statement P is called its negation $\neg P$.
if a statement is true, its negation is false.
if a statement is false, its negation is true.

when writing the negation of a statement with quantifiers, we change \forall to \exists and change \exists to \forall , and then negate the claim.

eg. $p: \forall x \in \mathbb{R}, \exists y \in \mathbb{R}, x+y=5$

$\neg p: \exists x \in \mathbb{R}, \forall y \in \mathbb{R}, x+y \neq 5$

§3 Compound statements and truth table

$p \wedge q$ is true when both p and q are true

$p \vee q$ is true when at least one of p or q is true

p	q	$\neg p \wedge q$	$p \wedge \neg q$	$(\neg p \wedge q) \vee (p \wedge \neg q)$	$(\neg p \vee \neg q) \wedge (p \vee q)$
T	T	F	F	F	F
T	F	F	T	T	T
F	T	T	F	T	T
F	F	F	F	F	F

§4 Conditional statements

$$P \Rightarrow Q$$

P is a sufficient condition for Q

Q is a necessary condition for P

1. Converse and Contrapositive

$$P \Rightarrow Q$$

the converse: $Q \Rightarrow P$

the contrapositive $\neg Q \Rightarrow \neg P$

if $P \Rightarrow Q$, it is not necessarily true that $Q \Rightarrow P$
But if $P = Q$, $\neg Q \Rightarrow \neg P$ is always true.

eg. if $x^2 - 6x + 5$ is even, then x is odd.

Converse: if x is odd, then $x^2 - 6x + 5$ is even

Contrapositive: if x is even, then $x^2 - 6x + 5$ is odd.

例題: 1. $A = \{x \mid x^2 - 8x - 20 \leq 0\}$, $B = \{x \mid 1-m \leq x \leq 1+m\}$ ($B \neq \emptyset$), $x \in A$ is a necessary but not sufficient for $x \in B$. What is the set of all values for m ?

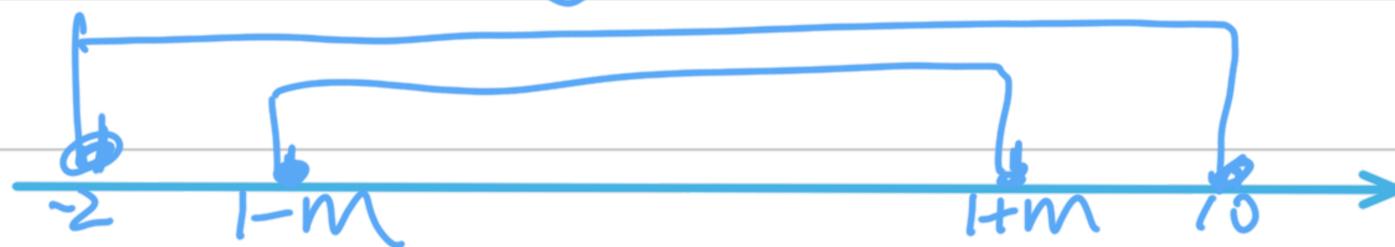
$$\begin{aligned} x \in B &\Rightarrow x \in A \\ \Rightarrow B \subset A \text{ (proper)} \\ \therefore B &\neq \emptyset \end{aligned}$$

$$(x+2)(x-10) \leq 0$$

$$-2 \leq x \leq 10$$

$$\therefore 1-m \leq 1+m$$

$$m \geq 0$$



$$\begin{cases} -2 \leq 1-m \\ 10 \geq 1+m \end{cases}$$

$$\begin{cases} m \leq 3 \\ m \leq 9 \end{cases}$$

$$\therefore m \leq 3$$

$$\therefore m \geq 0$$

$$\therefore 0 \leq m \leq 3$$

when $1-m=-2$, $m=3$

$$1+m=4 \neq 10$$

when $1+m=10$, $m=9$

$$1-m=-8 \neq -2$$

$$\therefore B \neq A$$

可以取等

In conclusion, $m \in [0, 3]$

2. " $\forall x \in \mathbb{R}, ax^2 - 2ax + 3 > 0$ " is false. What is the set of all values for a ?

Method 1: $\exists x \in \mathbb{R}, ax^2 - 2ax + 3 \leq 0$ is true.

① $a = 0$ $3 \leq 0$ ~~x~~ (left out)

② $a < 0$. always true.

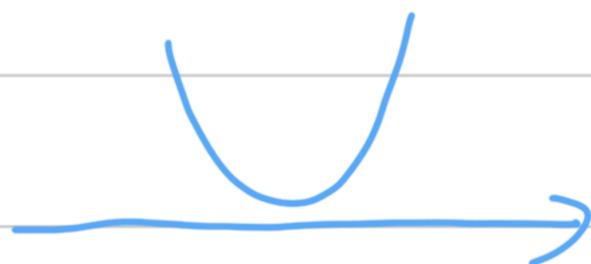
③ $a > 0$. $\Delta = 4a^2 - 12a \geq 0$

$a \leq 0$ or $a \geq 3$

$\therefore a \geq 3$

In conclusion, $a \in (-\infty, 0) \cup [3, +\infty)$

Method 2: Let's assume " $\forall x \in \mathbb{R}, ax^2 - 2ax + 3 > 0$ " is true.



① $a \neq 0$.

\uparrow $a > 0$

\uparrow $\Delta = 4a^2 - 12a < 0$.

$$\uparrow a > 0$$

$$\uparrow 0 < a < 3$$

$$\therefore 0 < a < 3$$

$$\textcircled{2} a = 0$$

$z > 0$ always true

$$\therefore 0 \leq a < 3$$

In conclusion, $a \in (-\infty, 0) \cup [3, +\infty)$

• Functions

1. Definition

$$f: A \rightarrow B \quad A \times B \rightarrow B$$

domain range
 定义域 值域

If function have the same domain A
 $a \in A, f(a) = g(a)$

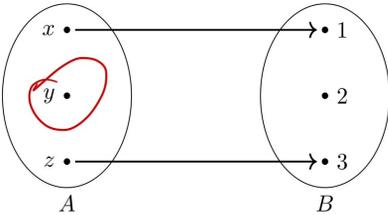


Figure 4.3: **NOT** a function/mapping.
 y is not mapped.

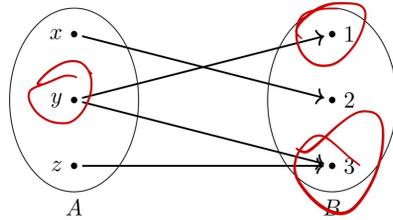


Figure 4.4: **NOT** a function/mapping.
 y is mapped to two elements in B

2. Injective 单射 (one-to-one)

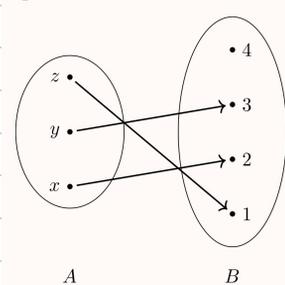


Figure 4.5: **An injection** from $\{x, y, z\}$ to $\{1, 2, 3, 4\}$

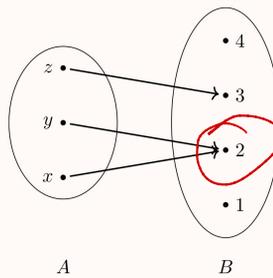


Figure 4.6: **Not an injection** from $\{x, y, z\}$ to $\{1, 2, 3, 4\}$

if $a_1 \neq a_2$
 \Downarrow
 $f(a_1) \neq f(a_2)$

3. surjective (or onto) 满射

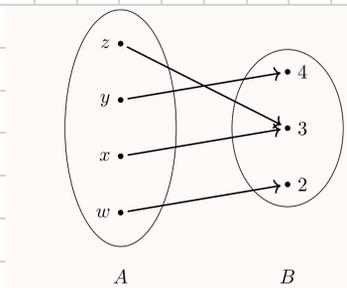


Figure 4.7: A surjection from $\{w, x, y, z\}$ to $\{2, 3, 4\}$

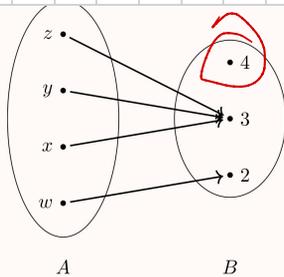


Figure 4.8: **Not** a surjection from $\{w, x, y, z\}$ to $\{2, 3, 4\}$

$$f: A \rightarrow B$$

one-to-one
(injective)

onto
(surjective)

$$|A| \leq |B|$$

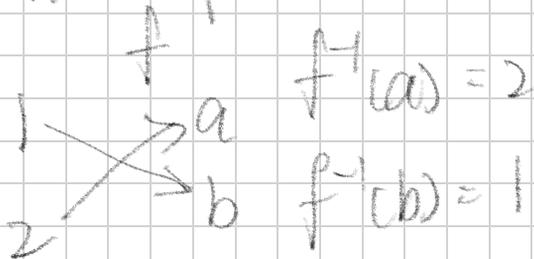
$$|A| \geq |B|$$

4. Function Composition

$$f \circ g(x) = f(g(x))$$

composition 复合运算后面的函数

5. Inverse function 反函数



$f(x)$ 与 $f^{-1}(x)$ 关于 $y=x$ 对称

$$f: A \rightarrow B \quad f^{-1}: B \rightarrow A$$

a function is invertible on a domain if and only if it is injective

6. domain 定义域

$$f(x) = \sqrt{x} \quad \{x | x \geq 0\}$$

$$f(x) = \frac{1}{x} \quad \{x | x \neq 0\}$$

$$f(x) = x^0 \quad \{x | x \neq 0\}$$

7. range 值域

$$f(x) = \frac{ax+b}{cx+d}$$

$$\text{if } y = \frac{ax+b}{cx+d}$$

$$cyx+dy = ax+b$$

$$dy - b = (a - cy)x$$

$$x = \frac{dy - b}{a - cy}$$

$$a - cy \neq 0$$

$$y \neq \frac{a}{c}$$

$$\therefore \text{range} = (-\infty, \frac{a}{c}) \cup (\frac{a}{c}, +\infty)$$

8. Graphs of Functions

Shifting 平移

$$f'(x) = f(x-a) + b \rightarrow \text{shift } \downarrow \text{ up } \uparrow$$

~~$f(x-a) + b$~~

9. Reflection Across a Horizontal Line 关于水平线对称.

If reflection on $y=b$

$$f'(x) = 2b - f(x)$$

10. Reflection Across a Vertical Line 关于竖线对称.

If reflection on $x=a$

$$f'(x) = f(2a-x)$$

11. Reflection Across a point

If point (a,b)

$$f'(x) = 2b - f(2a-x)$$

12. If $f(x) = f(2a-x)$ 则说明 $x=a$ 是此函数对称轴.

e.g. 二次函数对称轴

$$f(x) = ax^2 + bx + c$$

$$\begin{aligned} f\left(x, \frac{-b}{2a} - x\right) &= f\left(\frac{-b}{a} - x\right) \\ &= a\left(\frac{-b}{a} - x\right)^2 + b\left(\frac{-b}{a} - x\right) + c \\ &= a\left(\frac{b^2}{a^2} + \frac{2bx}{a} + x^2\right) - \frac{b^2}{a} - bx + c \\ &= \frac{b^2}{a} + 2bx + ax^2 - \frac{b^2}{a} - bx + c \\ &= ax^2 + bx + c = f(x) \end{aligned}$$

13. Even and Odd Functions.

● Even functions

$$f(-x) = f(x) \text{ 关于 } y \text{ 轴对称}$$

● Odd Functions

$$f(-x) = -f(x) \text{ 关于原点对称}$$

* 函数的 domain 必须关于原点对称 才可判断 even or odd.

● 运算

f	g	$g+f$	$f-g$	$g \cdot f$	$\frac{f}{g}$
even	even	even	even	even	even
odd	odd	odd	odd	odd	odd.

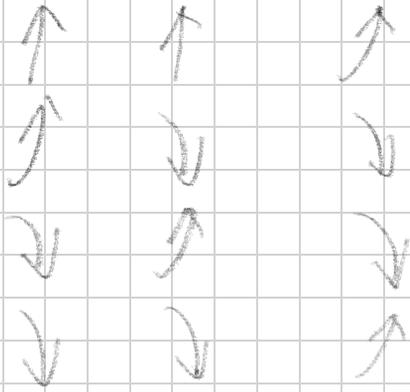
f	g	$f \circ g$
odd	odd	odd
even	odd	even
any	even	even

14. Monotonicity 单调性

if $\forall x, y \in D, x > y \Rightarrow f(x) > f(y)$ increasing

$x > y \Rightarrow f(x) < f(y)$ decreasing.

f	g	$f \circ g$
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● 函数倒题

1. 求 Inverse Functions

① (b) $f(x) = \frac{1+3x}{5-2x}$

$$y = \frac{1+3x}{5-2x}$$

$$5y - 2yx = 1 + 3x$$

$$5y - 1 = (3 + 2y)x$$

$$x = \frac{5y - 1}{3 + 2y}$$

$$f^{-1}(x) = \frac{5x - 1}{3 + 2x}$$

(f) $f(x) = 1 - x^3$

$$y = 1 - x^3$$

$$x^3 = 1 - y$$

$$x = \sqrt[3]{1 - y}$$

$$f^{-1}(x) = \sqrt[3]{1 - x}$$

解反函数的方法与
求 range 的方法相似

② find the inverse function of $f(x) = x^2 + 1$

$$f(x) = x^2 + 1$$

$$y = x^2 + 1$$

No inverse function

$$x^2 = y - 1$$

$$x = \pm \sqrt{y-1}$$

∴ 有两个解 (f(x) 必须是 one-by-one 才能有 inverse function)

2. 求 domain

(a) $f(x) = \frac{\sqrt{x}}{2x^2+x-1}$

(d) $f(x) = \frac{1}{1+\frac{1}{x}}$

$$\sqrt{x} \geq 0$$

$$x \geq 0$$

$$2x^2+x-1 \neq 0$$

$$(2x-1)(x+1) \neq 0$$

$$x \neq \frac{1}{2} \text{ or } -1$$

$$\forall x \geq 0$$

$$\therefore \{x \mid x \geq 0 \text{ and } x \neq \frac{1}{2}\}$$

$$x \neq 0$$

$$1 + \frac{1}{x} \neq 0$$

$$x \neq -1$$

$$1 + \frac{1}{1+x} \neq 0$$

$$1 + \frac{1}{x} \neq -1$$

$$x \neq -\frac{1}{2}$$

$$\{x \mid x \neq 0, x \neq -1, x \neq -\frac{1}{2}\}$$

3. 求 range

Find the range of $f(x) = \frac{1-x}{1+x^2}$

$$F_1: f(x) = \frac{1-x^2}{1+x^2}$$

$$y + yx^2 = 1 - x^2$$

$$(y+1)x^2 = 1-y$$

$$x^2 = \frac{1-y}{y+1} \geq 0$$

$$(1-y)(y+1) \geq 0$$

$$[-1, 1] \quad y+1 \neq 0$$

$$y \neq -1$$

$$\subset (-1, 1]$$

$$F_2: f(x) = \frac{1-x^2}{1+x^2}$$

$$= \frac{x^2-1}{x^2+1}$$

$$= -\frac{x^2+2}{x^2+1}$$

$$= -1 + \frac{2}{x^2+1}$$

$$x^2+1 \geq 1$$

$$0 < \frac{2}{x^2+1} \leq 2$$

$$-1 < -1 + \frac{2}{x^2+1} \leq 1$$

$$(-1, 1]$$

4. Prove Odd and Even functions

$$(1) f(x) = x^2 + \frac{1}{|x|}$$

$$f(-x) = (-x)^2 + \frac{1}{|-x|}$$

$$= x^2 + \frac{1}{x} = f(x)$$

even

$$(2) f(x) = |x+1| - |x-1|$$

$$f(-x) = |-x+1| - |-x-1|$$

$$= |-(x-1)| - |-(x+1)|$$

$$= |x-1| - |x+1| = -f(x) = -|x+1| + |x-1|$$

odd

5. Discuss the monotonicity

4. Discuss the monotonicity of $f(x) = \frac{2x+1}{x-2}$ and prove your statement.

$$\text{when } x < y < 2 \quad f(x) - f(y) = \frac{2x+1}{x-2} - \frac{2y+1}{y-2}$$

$$x < y$$

$$y-x > 0$$

$$x < 2 \quad y < 2$$

$$x-2 < 0 \quad y-2 < 0$$

$$(x-2)(y-2) > 0$$

$$\therefore \frac{5(y-x)}{(x-2)(y-2)} > 0 \quad \text{decreasing}$$

$$\text{when } x > y > 2 \quad f(x) - f(y) = \frac{5(y-x)}{(x-2)(y-2)} < 0$$

decreasing

6. Simple Functional Equations

7. Given $f(3x+1) = 4x+7$, then what is $f(x)$?

$$\text{if } 3x+1 = t \quad \text{② } f(3x+1) = \frac{4}{3}(3x+1) + 7 = \frac{4}{3}t + 7$$

$$x = \frac{t-1}{3}$$

$$f(t) = \frac{4t-4}{3} + 7$$

$$= \frac{4t}{3} - \frac{17}{3}$$

$$f(x) = \frac{4x}{3} - \frac{17}{3}$$

$$f(x) = \frac{4}{3}x + 7 - \frac{4}{3}$$

$$= \frac{4}{3}x - \frac{17}{3}$$